



COPY OF PAPERS  
ORIGINALLY FILED

#3

PATENT  
83348.0001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kenji OMIYA

Serial No: 09/929,199

Filed: August 15, 2001

For: METHOD AND SYSTEM FOR  
HANDLING ESCROW ARRANGEMENTS

Art Unit: 2161

Examiner: Not assigned

I hereby certify that this correspondence  
is being deposited with the United States  
Postal Service with sufficient postage as  
first class mail in an envelope addressed  
to:  
Commissioner for Patents  
Washington D.C. 20231, on  
October 17, 2001  
Date of Deposit  
Lawrence J. McClure  
Name  
*Lawrence J. McClure*  
Signature  
17/01  
Date

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated September 20, 2001, response to which is due November 20, 2001, enclosed are the following:

- A copy of the "Notice to File Missing Parts of Application Filing Date Granted."
- A "Declaration and Power of Attorney" executed by the inventor(s).
- Applicant claims small entity status. See 37 CFR 1.27.
- A check in the amount of \$370.00 in payment of the filing fee.
- A check in the amount of \$65.00 to cover the surcharge for late filing of the Declaration.

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,  
Date: October 17, 2001

By: *Lawrence J. McClure*  
Lawrence J. McClure  
Registration No. 44,228  
Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,199	08/15/2001	Kenji Omiya	83348.0001

## CONFIRMATION NO. 2706

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

## FORMALITIES LETTER



\*OC000000006584225\*

Date Mailed: 09/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

AJ

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 BABRAHA1 00000251 09929199

01 FC:201  
02 FC:205370.00 OP  
65.00 OP